

12-05-01

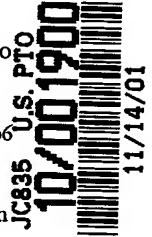
FISH & RICHARDSON P.C.

225 Franklin Street
Boston, Massachusetts
02110-2804

Telephone
617 542-5070

Facsimile
617 542-8906

Web Site
www.fr.com



November 14, 2001

Attorney Docket No.: 09857-073001

Box Patent Application
Commissioner for Patents
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: STEVEN M. BLOOM, MICHAEL S. SPECTOR AND JOHN L.
JACOBSON

Title: BALANCING ARBITRAGABLE TRACKING SECURITIES

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR §1.53(b):

	Pages
Specification	15
Claims	3
Abstract	1
Declaration	[To be Filed at a Later Date]
Drawing(s)	4

Enclosures:

— Postcard.

Basic filing fee	\$740
Total claims in excess of 20 times \$18	\$0
Independent claims in excess of 3 times \$84	\$0
Fee for multiple dependent claims	\$0
Total filing fee:	\$740

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL485712416US

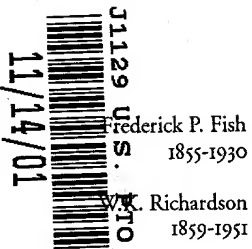
I hereby certify under 37 CFR §1.10 that this correspondence is being
deposited with the United States Postal Service as Express Mail Post
Office to Addressee with sufficient postage on the date indicated below
and is addressed to the Commissioner for Patents, Washington,
D.C. 20231.

November 14, 2001
Date of Deposit

Signature

Anne Roy
Anne Roy

Typed or Printed Name of Person Signing Certificate



Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951



BOSTON

DALLAS

DELAWARE

NEW YORK

SAN DIEGO

SILICON VALLEY

TWIN CITIES

WASHINGTON, DC

FISH & RICHARDSON P.C.

Commissioner for Patents
November 14, 2001
Page 2

Under 37 CFR §1.53(f), no filing fee is being paid at this time.

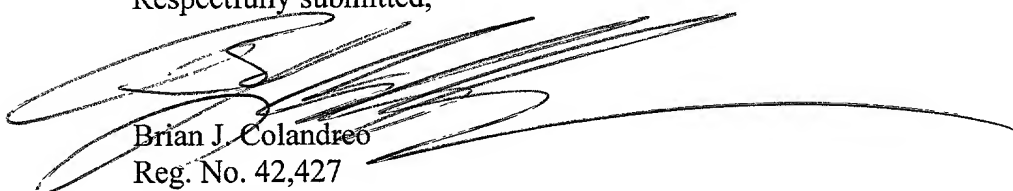
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

DENIS G. MALONEY
Fish & Richardson P.C.
225 Franklin Street
Boston, Massachusetts 02110-2804

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'Brian J. Colandreo', is written over the typed name and registration number.

Brian J. Colandreo
Reg. No. 42,427
Enclosures
BJC/lxf
20344402.doc